



**CALIFORNIA APPLICATION FOR A CLAIMS-MADE AND REPORTED  
LAWYERS PROFESSIONAL LIABILITY POLICY**

**PLEASE TYPE OR PRINT IN INK AND RETURN WITH A SAMPLE OF YOUR LETTERHEAD**

_____ Legal Name of Firm	_____ Business Phone with Area Code	_____ E-mail Address
_____ Principal Business Address	_____ Business Fax with Area Code	_____ Effective Date Requested
City            County            State            Zip		

1. Please list all attorneys practicing on behalf of your firm. Add an attachment if necessary.

Attorney Name	Social Security Number	Designation Code (See choices below)	Part Time or Full Time (See Below)	Years Since Admitted to Bar	Current Legal Malpractice Insurance Carrier	Current Retroactive Date

**Designation Code:** **E** = Member/Employee of the Firm, **OC** = Of Counsel/Independent Contractor, **PLL** = Provisionally Licensed Lawyer and **F** = Full Time, **PT** = Part Time attorney working 20 hours or fewer per week.

\*If an attorney is requesting part time rates please provide the date that this attorney last practiced law full time.

\_\_\_/\_\_\_/\_\_\_\_\_. Also, please be advised that this designation should include all hours worked as an attorney, including but not limited to billable hours, non-billable hours and time spent operating a part time law practice.

2. Do any members of your firm have an ownership interest, in whole or in part, in any business entity other than the applicant law practice?

NO  YES - If YES, provide the names of each firm member and the business entity or entities that he/she owns in whole or in part and indicate if any professional services are performed for the entity or entities.

3. Have any members of your firm been the subject of investigation and/or disciplinary action, including but not limited to reprimand, censure, suspension or disbarment within the past five (5) years?  NO  YES - If YES, provide full details on your letterhead.

4. Have any professional liability claim(s) and/or suit(s) been made against the applicant firm or any attorney(s) in the applicant firm or former attorney(s) of the applicant firm within the past five years?  NO  YES - If YES, complete the Claim Supplemental Application.
5. After inquiry, are you or any attorney in your firm aware of any fee disputes, circumstances, incidents, acts or omissions that have led to any professional liability claim that has not yet settled or which could lead to a professional liability claim being made against your firm and/or any attorney in your firm?  NO  YES - If YES, complete the Claim Supplemental Application.
6. Please provide gross annual revenue for the firm:

Prior Fiscal Year	Last Fiscal Year	Estimate for Current Fiscal Year	Projected Next Fiscal Year
FYE	FYE	FYE	FYE
\$	\$	\$	\$

7. Please list the limit of liability and deductible currently carried and circle the appropriate type of limit and deductible. Select limit and deductible requested.

CURRENT	DESIRED
Limit: \$ _____ Defense Costs Part of the Limit * Defense Costs Outside the Limit * Don't Know	Limit: \$ _____ Defense Costs Part of the Limit * Defense Costs Outside the Limit * Don't Know
Deductible: \$ _____ Per Claim      Aggregate      Loss Only	Deductible: \$ _____ Per Claim      Aggregate      Loss Only
Premium: \$ _____	

8. Please provide the percentage of gross billable dollars allocated to each Area of Practice. Please round to the nearest whole number. Total must equal 100%.

ADMIRALTY/MARITIME		GOVERNMENT-FEDERAL AND STATE	
ANTITRUST		GOVERNMENT-LOCAL (NOT BOND WORK)	
ARBITRATION/MEDIATION		IMMIGRATION/NATURALIZATION	
BANKRUPTCY		INTERNATIONAL LAW	
*COLLECTIONS		LABOR LAW	
BUSINESS TRANSACTIONS- CORPORATE AND COMMERCIAL		<b>PI/PD-PLAINTIFF</b>	
<b>BUSINESS TRANSACTIONS- ENTERTAINMENT</b>		INSURANCE DEFENSE	
CIVIL RIGHTS/DISCRIMINATION		WORKERS COMPENSATION-DEFENSE	
CONSTRUCTION LAW (BUILDING CONTRACTS)		WORKERS COMPENSATION-PLAINTIFF	
CONSUMER CLAIMS		NATURAL RESOURCES/OIL & GAS	
BUSINESS ORGANIZATION:		COPYRIGHT/TRADEMARK	
Formation/Alteration and Mergers/Acquisitions		PATENT	
Secured Transactions		REAL ESTATE	

Administrative Law/Record Keeping		<b>SECURITIES LAW:</b>	
CRIMINAL		State or Federal (both exempt and registered)	
ENVIRONMENTAL LAW		Municipal Bonds	
ESTATE/TRUST/PROBATE		TAXATION/TAX OPINIONS	
FAMILY LAW			

**BOLD INDICATES THAT A SEPARATE SUPPLEMENTAL APPLICATION IS REQUIRED.**

\*If handling Collections: **FDCPA compliant?**  NO  YES. Staff #\_\_\_\_ Attorney #\_\_\_\_

9. In the past five years, has any member of your firm handled a mass tort/class action/multiple plaintiff case?  
 NO  YES

If YES, please provide a narrative describing the mass tort/class action/multiple plaintiff case[s] on your letterhead. Description should include the capacity in which any attorney in the firm was involved in the case, the size of the class, the amount of money involved and whether or not it involves bodily injury.

10. In the past five years, has any member of your firm done work for a client involved in the cannabis industry?  
 NO  YES

If YES, please provide a narrative describing the work.

The applicant represents that the above statements are true and correct to the best of his or her knowledge and that no material or relevant facts have been suppressed or misstated and agree that the policy, if issued, will be issued on the reliance of such representations.

Applicant acknowledges a continuing obligation to report to us as soon as practicable any material changes in the facts or statements above, and in each supplementary application, which applicant becomes aware after signing the application.

**NOTICE: ANY PERSON WHO, KNOWINGLY OR WITH INTENT TO DEFRAUD OR TO FACILITATE A FRAUD AGAINST ANY INSURANCE COMPANY OR OTHER PERSON, SUBMITS AN APPLICATION OR FILES A CLAIM FOR INSURANCE CONTAINING FALSE, DECEPTIVE OR MISLEADING INFORMATION MAY BE GUILTY OF INSURANCE FRAUD.**

Signature of Owner/Partner \_\_\_\_\_ Date: \_\_\_\_\_

Print name: \_\_\_\_\_ Title: \_\_\_\_\_

PLEASE NOTE THAT THE FOLLOWING SECTION ONLY APPLIES TO FIRMS WITH ONE OR TWO ATTORNEYS

There are many factors used by the company to evaluate an application for Lawyers Professional Liability Insurance. Such factors may include a law firm's areas of practice, loss history, risk management and an insurance score.

An insurance score is developed from a mathematical model that weighs and measures credit information obtained from a number of sources, including a consumer credit report. Credit information may include payment history, the number of collections, bankruptcies, outstanding debt, length of credit history, types of credit in use and the number of new applications for credit. These factors have been shown to correlate with insurance loss history.

You may be eligible for a premium discount based upon your insurance score. An insurance score will not result in a premium increase. The insurance score is also never the basis on which this company will accept or reject an application for an insurance policy.

If this is acceptable all members of the applicant firm must provide authorization. If you do not wish to have your insurance score computed, only check the box below.

(1) Signature \_\_\_\_\_ Date: \_\_\_\_\_

Print name: \_\_\_\_\_ Title: \_\_\_\_\_

(2) Signature \_\_\_\_\_ Date: \_\_\_\_\_

Print name: \_\_\_\_\_ Title: \_\_\_\_\_

Do not compute my insurance score